

ALBERTA SECURITIES COMMISSION
REVOCATION OF CERTAIN BLANKET ORDERS

Background

1. Blanket Order *In the Matter of Investment Funds Institute of Canada* (the **1984 Order**) was issued on 10 May 1984 to exempt mutual fund dealers from the requirement to promptly provide a written confirmation of trade on certain conditions.
2. Blanket Order *In the Matter of Self-Directed Registered Education Savings Plan* (the **1991 Order**) was issued on 10 October 1991 to exempt the offer and sale of self-directed educational savings plans from the prospectus and registration requirements.
3. Blanket Order 32-501 *In the Matter of Certain Firms Registered by the Alberta Securities Commission as Securities Dealers* (**Order 32-501**) was issued on 20 February 2001 to provide a transitional exemption for certain securities dealers from certain registration requirements.
4. Blanket Order *NI 45-106 Discretionary Relief, 2005 ABASC 914* (**2005 Order**) was issued on 18 November 2005 to exempt certain trades of securities under distribution reinvestment plans from the prospectus and registration requirements.
5. Blanket Order *NI 45-106 Discretionary Relief, 2006 ABASC 1285* (**2006 Order**) was issued on 21 April 2006 to exempt certain trades of debt securities of or guaranteed by certain supranational agencies from the prospectus and registration requirements.
6. The subject matter of the 1984 Order, the 1991 Order, Order 32-501, the 2005 Order and the 2006 Order is subsumed in one or both of National Instrument 31-103 *Registration Requirements and Exemptions* and National Instrument 45-106 *Prospectus and Registration Exemptions*.

Order

7. The Commission, considering that it would not be prejudicial to the public interest to do so, orders under section 214 of the *Securities Act*, R.S.A. 2000, c. S-4 that the 1984 Order, the 1991 Order, Order 32-501, the 2005 Order and the 2006 Order are revoked with effect on 28 September 2009.

“original signed by”

William S. Rice, Q.C., Chair

“original signed by”

Glenda A. Campbell, Q.C., Vice-Chair