

ALBERTA SECURITIES COMMISSION

REVOCATION OF CERTAIN REGISTRATION-RELATED BLANKET ORDERS

Citation: Revocation of Certain Registration-Related Blanket Orders, Re, 2011 ABASC 221
Date: 20110412

Background:

1. As a result of amendments made effective 11 July 2011, the subject matter or substantive effect of each of the following blanket orders is now subsumed in National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*:
 - Blanket Order 31-506 *Exemption from Certain Sections of National Instrument 31-103 Registration Requirements and Exemptions in Connection with Transition and Grandfathering Matters*, 2010 ABASC 76 (**Blanket Order 31-506**);
 - Blanket Order 31-507 *Exemption from Sections 3.6, 3.10 and 3.14 of National Instrument 31-103 Registration Requirements and Exemptions for Chief Compliance Officers of Portfolio Managers Adding a Category*, 2010 ABASC 77 (**Blanket Order 31-507**);
 - Blanket Order 31-508 *Exemption from Sections 3.5 and 3.9 of National Instrument 31-103 Registration Requirements and Exemptions for Portfolio Managers Adding a Category*, 2010 ABASC 78 (**Blanket Order 31-508**);
 - Blanket Order 31-509 *Exemption from Section 3.3 of National Instrument 31-103 Registration Requirements and Exemptions for Representatives of Scholarship Plan Dealers*, 2010 ABASC 79 (**Blanket Order 31-509**);
 - Blanket Order 31-510 *Exemption from Section 14.5 of National Instrument 31-103 Registration Requirements and Exemptions for Canadian Firms*, 2010 ABASC 80 (**Blanket Order 31-510**);
 - Blanket Order 31-516 *Exemption from Requirement to Establish Whether a Client is an Insider (Section 13.2(2)(b) of NI 31-103)*, 2010 ABASC 512 (**Blanket Order 31-516**); and
 - Blanket Order 31-517 *Exemption from the Requirement that Mutual Fund Dealers Identify Individuals with 10% Interests in Corporate Clients (Section 13.2(3)(b)(i) of NI 31-103)*, 2010 ABASC 513 (**Blanket Order 31-517**).

Order:

2. The Alberta Securities Commission, considering that it would not be prejudicial to the public interest to do so, orders under section 214 of the *Securities Act*, R.S.A. 2000, c. S-4 that Blanket Orders 31-506, 31-507, 31-508, 31-509, 31-510, 31-516 and 31-517 are revoked with effect on 11 July 2011.

For the Commission:

“original signed by”

Glenda Campbell, QC
Vice-Chair

“original signed by”

Stephen Murison
Vice-Chair