

*Note: [07 Sep 1999] – Amendments to NI 13-101. Refer to ASC Notices announcing amendments to NI 13-101 dated June 18, 1999 and August 27, 1999.*

**AMENDMENT TO NATIONAL INSTRUMENT 13-101  
SYSTEM FOR ELECTRONIC DOCUMENT ANALYSIS AND RETRIEVAL (SEDAR)**

**PART 1      AMENDMENTS**

Amendments - National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR) is amended by

- (a) deleting the following definitions from section 1.1:
  - “equity security”;
  - “foreign jurisdiction”;
  - “jurisdiction”;
  - “local jurisdiction”;
  - “person or company”;
  - “phase-in date”;
  - “regulator”;
  - “securities directions”;
  - “securities legislation”; and
  - “securities regulatory authority”;
- (b) deleting subsections 1.2(5) and (6);
- (c) adding to subsection 2.2(1) the following paragraph after paragraph 5:
  - “6. A document that is required by securities legislation or securities directions other than this Instrument to be filed in electronic format.”;
- (d) adding to section 2.2 the following subsection:
  - “(3) Despite paragraph 3 of subsection (1), the appendices or other supplemental materials forming part of a mining report filed as a supporting document may be filed in paper format.”
- (e) deleting paragraph 1 of subsection 2.3(1) and replacing it with the

following:

“1. A document that is required or permitted to be filed on a confidential basis under securities legislation or securities directions unless the securities legislation or securities directions require the confidential filing to be made in electronic format.”;

(f) deleting section 4.1 and replacing it with the following:

“4.1 SEDAR Filer Manual

(1) The most recent version of the SEDAR Filer Manual: Standards, Procedures and Guidelines for Electronic Filing with the Canadian Securities Administrators, as approved by the regulator or the securities regulatory authority, is incorporated by reference in this Instrument.

(2) An electronic filing shall be prepared and transmitted in accordance with the standards, procedures and guidelines set forth in the SEDAR Filer Manual.”;

(g) deleting subsection 4.3(5);

(h) deleting Part 6 and replacing it with the following:

“Part 6 - Joint Filings

6.1 Joint Filings - An electronic filer shall file in electronic format in accordance with this Instrument a document that is to be filed jointly by an electronic filer and another person or company that is not an electronic filer.”;

(i) deleting the following appendices:

Appendix B;

Appendix C;

Appendix D;

Appendix E; and

Appendix F;

(j) deleting the following from part I B. of Appendix A:

“8. Management Proxy  
Circular/Information Circular

9. Change of Auditor Filings
10. Change in Year End Filings”;

(k) adding the following to part I *B.* of Appendix A:

- “8. Annual Filing of a Reporting Issuer (Form 28-British Columbia, Alberta, Ontario, Nova Scotia and Form 26-Saskatchewan) BC, Alta, Sask, Ont and NS
9. Notice of Securityholders’ Meeting and Record Date
10. Management Proxy Circular/Information Circular
11. Change of Auditor Filings
12. Change in Year End Filings
13. Labour Sponsored Investment Fund Corporation Quarterly and Annual Share Valuation Reports Ont”;

(l) adding the following to part II *A.* of Appendix A:

“(d) *Alberta Filings:*

1. Preliminary Prospectus (Local Filing) Alta
2. Final Prospectus (Local Filing) Alta
3. Preliminary Exchange Offering Prospectus (Local Filing) Alta
4. Final Exchange Offering Prospectus (Local Filing) Alta”;

(m) deleting the following from part II *B.(a)* of Appendix A:

- “13. Film Producer’s Compliance Report
14. Future Oriented Financial Information Filings
15. Change in Year End Filings”;

- (n) adding the following to part II *B.(a)* of Appendix A:
- “13. Future Oriented Financial Information Filings
  - 14. Changes in Year End Filings
  - 15. Annual Information Form (SHAIF System) BC, Alta
  - 16. Amended Annual Information Form (SHAIF System) BC, Alta
  - 17. Notice (SHAIF System) BC, Alta”;
- (o) deleting the following from part II *B.* of Appendix A:
- “(b) *Ontario Filings:*
- 1. Labour Sponsored Investment Fund Corporation Quarterly and Annual Share Valuation Report Ont
  - 2. Junior Natural Resource Issuer Filings Ont”;
- (p) adding the following to part II *B.* of Appendix A:
- “(b) *Ontario Filings:*
- 1. Junior Natural Resource Issuer Filings Ont”.

## **PART 2 EFFECTIVE DATE**

**2.1 Effective Date** - This Amendment comes into force on September 7, 1999.