

ALBERTA SECURITIES COMMISSION

IN THE MATTER OF the Securities Act
(S.A. 1981, c. S-6.1, as amended) (the "Act")

- and -

IN THE MATTER OF Certain Firms Registered
by the Alberta Securities Commission as
Securities Dealers

ORDER
(Subsection 185(b))

1. WHEREAS THE Executive Director has made an application to the Alberta Securities Commission (the "Commission") for an order pursuant to subsection 185(b) of the Act exempting certain registrants from the requirements of Alberta Securities Commission Rules section 16;
2. AND WHEREAS:
 - 2.1 on August 31, 2000 the Commission amended the Alberta Securities Commission Rules section 16 to remove the category of Securities Dealer from the list of categories in which a dealer could be registered in Alberta;
 - 2.2 at the time of the amendment there were certain dealers registered by the Executive Director as Securities Dealers;
 - 2.3 the Executive Director wishes to be able to renew the registration of those dealers to facilitate an orderly transition to the one of the remaining registration categories for dealers;
3. AND WHEREAS the Commission is satisfied that to do so would not be prejudicial to the public interest;

- 4. IT IS HEREBY ORDERED, pursuant to subsection 185(b) of the Act, that the registration as dealer of those dealers that were as of August 30, 2000 registered in Alberta in the category of Securities Dealer may be renewed notwithstanding the registrant does not fit within any of the categories in Alberta Securities Commission Rules section 16.

Dated at the City of EDMONTON)
)
 in the Province of ALBERTA)
) (original signed by)
 this 20th day of February, 2001) Eric T. Spink, Vice-Chair
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)
) (original signed by)
) Thomas G. Cooke, Q.C., Member
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